

A/RE

PATENT/Docket No.: 4830.P RE

CERTIFICATE OF MAILING (37 CFR 1.10)

"Express Mail" No.: EM177638503 Date of Deposit: May 13, 1999

I hereby certify that this transmittal together with the patent application referred to below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie K Lyons
Signature

Julie K. Lyons, Legal Assistant Name of Person Mailing Paper

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Attached hereto for filing is an application for reissue of a U.S. Patent (hereafter the "original patent"), identified as follows:

Patent No. : 5,652,245
Issued Date : July 29, 1997
Inventor(s) : Arthur G. Romero
Title : Heterocyclic Amines Having Central Nervous System Activity

☒ This reissue application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears above.

This reissue application includes the following parts as filed:

17 page(s) of specification
2 page(s) of claims
1 page(s) of abstract
0 sheets of drawing

ORDER FOR TITLE REPORT. Please prepare a certified Title Report (Abstract of Title) to the original patent. Title to the original patent is believed to be in the name of PHARMACIA & UPJOHN COMPANY, a Delaware corporation with a place of business in Kalamazoo, Michigan, by virtue of an assignment of the entire right and interest therein recorded at Reel 9719, Frame 0754.

The fee for preparing the Abstract of Title as required by 37 CFR 1.19(b)(2), may be charged to Deposit Account No. 21-0718. See the fee calculations below.

CONSENT OF ASSIGNEE. PHARMACIA & UPJOHN COMPANY, assignee of the original patent by virtue of the above-referenced assignment, hereby assents to the above-identified reissue application.

OFFER TO SURRENDER THE ORIGINAL LETTERS PATENT. PHARMACIA & UPJOHN COMPANY, through the undersigned, hereby offers to surrender the original patent upon grant of this application for reissue thereof in accordance with 37 CFR 1.178.

REISSUE DECLARATIONS. Attached hereto are:

1. ☒ a reissue declaration (37 CFR 1.63) and power of attorney by the assignee of the entire interest in the patent, inasmuch as the reissue application does not seek to enlarge the scope of the claims, or
☐ a reissue declaration by the inventor(s) (37 CFR 1.63) and power of attorney, inasmuch as the application may or may not seek to enlarge the scope of the claims, and
2. ☐ a statement under oath/declaration providing the particulars set forth in 37 CFR 1.175(a)(1)-(7) made by or on behalf of the applicant and, if applicable,
☐ corroborating statement(s) (37 CFR 1.175(b)).

FILING FEES. The filing fees for this reissue application are calculated as shown below:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS FEE	12 - 20 =	0	x \$22	\$ 0.00
INDEPENDENT CLAIMS FEE	5 - 3 =	2	x \$80	\$ 160.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM			\$260	\$ 0.00
BASIC FEE				\$ 770.00
ABSTRACT OF TITLE REPORT			\$25	\$ 25.00
TOTAL FILING FEE				\$ 955.00

SPECIFIC DEPOSIT ACCOUNT AUTHORIZATION. Please charge my Deposit Account No. **21-0718** in the amount of the total filing fee above. Triplicate copies of this sheet are enclosed.

GENERAL DEPOSIT ACCOUNT AUTHORIZATION. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or during the pendency of this reissue application or credit any overpayment to Deposit Account No. **21-0718**.

- (1) Any additional filing fees or fees for the presentation of additional claims required under 37 CFR 1.16.
- (2) Any patent application processing fees under 37 CFR 1.17.

No authorization is given to charge the Issue Fee (37 CFR 1.18).

DELEGATION OF AUTHORITY. The authority of the undersigned to execute this document is derived from a delegation of authority from PHARMACIA & UPJOHN COMPANY, communicated to the Commissioner by letter dated January 27, 1999, a copy of which is attached to this paper.

Respectfully submitted,

Date: 11 May, 1999



Bruce Stein, Attorney
Registration No. 27,231
Telephone: (616) 833-1127

Mlg. Address: Intellectual Property Legal Services, Pharmacia & Upjohn Company, Kalamazoo, MI 49001

Attachments: ☒ Delegation of authority
 ☒ Application (including specification, claims, abstract and drawings, if any)
 ☒ Declaration, including Power of Attorney and Statement under 37 CFR 1.175(a), by ASSIGNEE
 ☐ Corroborating statement(s) under 37 CFR 1.175(b)

Enclosure: Return postcard

606250-1236

CERTIFICATE UNDER 37 C.F.R. 3.73(b)

Applicant: Arthur G. Romero

Application No.: 08/592,328

Filed: 1-23-96

For: Heterocyclic Amines Having Central Nervous System Activity

Pharmacia & Upjohn Company, a

Corporation

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9719, Frame 0754, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : 12 May 1999

Name : Bruce Stein

Title : Patent Attorney

Signature: Bruce Stein



Pharmacia & Upjohn

January 27, 1999

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to the authority delegated to me by the Board of Directors of Pharmacia & Upjohn Company, I, Jack J. Jackson, Senior Vice President, Pharmacia & Upjohn Company, hereby delegate the following:

Raymond G. Arner, Registration No. 32,958,
William G. Jameson, Registration No. 27,199,
Lori L. Kerber, Registration No. 41,113,
Ruth H. Newton, Registration No. 26,657
Bruce A. Pokras, Registration No. 32,748
Bruce Stein, Registration No. 27,231,
Thomas A. Wootton, Registration No. 35,004, and
Lucy X. Yang, Registration No. 40,259

without any approval by the Board, to sign on behalf of the Company the following papers incidental to intellectual property prosecution before the United States Patent and Trademark Office, and where required by the nature of the document executed pursuant thereto, the Secretary and any Assistant Secretary of this Company are hereby authorized to affix and attest the seal of Pharmacia & Upjohn Company on such documents:

1. Appointment and revocation of powers of attorney by Company assignee;
2. Oath or Declaration for filing by Company assignee or on behalf of the inventor when signature of the inventor is not available;
3. Assent of Company assignee to application for a reissue;
4. Assent of Company assignee to express abandonment;
5. Disclaimer or dedication by Company assignee of claims of a patent or of any terminal part of the term of a patent or application;

6. In the case of interference proceedings, to make preliminary statements on behalf of the applicant or patentee, and to consent to concessions of priority, disclaimers of invention and abandonments of contest on behalf of Company;
7. Consent of Company assignee to the addition, removal or change of inventors in patents or patent applications;
8. Declaration of Ownership;
9. Petition for Extension of Patent Term.

The signature of the delegates identified above shall constitute complete and formal execution of the document by the Company.

PHARMACIA & UPJOHN COMPANY

By: Jack J. Jackson
Jack J. Jackson
Title: Senior Vice President
Date: February 10, 1999

I hereby certify that Jack J. Jackson, who has signed the above delegation of authority, is a lawful representative of Pharmacia & Upjohn Company and is authorized to execute this document on behalf of Pharmacia & Upjohn Company, said Company having a place of business in Kalamazoo, Michigan (Address: 7000 Portage Road, Kalamazoo, Michigan 49001).

By: Robert J. Meisenhelder II
Robert J. Meisenhelder II
Title: Assistant Secretary
Date: March 1, 1999